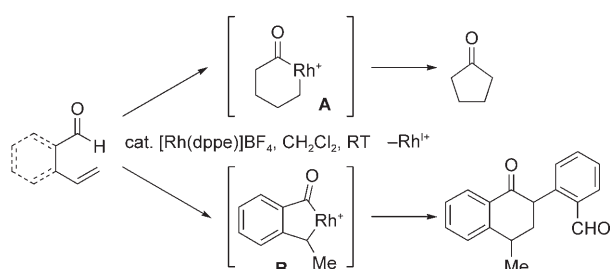


# Enantioselective Synthesis of Spirocyclic Benzopyranones by Rhodium-Catalyzed Intermolecular [4+2] Annulation\*\*

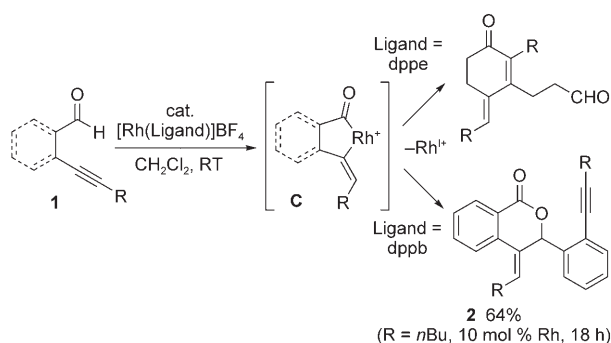
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The hydroacylation of 4-alkenals<sup>[1,2]</sup> and 4-alkynals<sup>[3,4]</sup> catalyzed by a cationic rhodium(I)/bisphosphine complex is a well-established method for the synthesis of cyclopentanones and cyclopentenones, respectively, in an atom-economical manner. The hydroacylation of 4-pentenal to give cyclopentanone in high yield via the six-membered rhodacycle **A** is catalyzed by a cationic rhodium(I)/1,2-bis(diphenylphosphino)ethane (dppe) complex (Scheme 1).<sup>[5]</sup> In contrast, the



**Scheme 1.** Rh-catalyzed cyclization and dimerization of 4-alkenals.

reaction of a benzene-linked 4-alkenal, namely 2-vinylbenzaldehyde, with the same rhodium catalyst furnishes an unexpected dimerization product in high yield by an intermolecular homo-[4+2] annulation between five-membered rhodacycle **B** and the double bond of 2-vinylbenzaldehyde (Scheme 1).<sup>[6–8]</sup> The reaction of a 4-alkynal with the cationic rhodium(I)/dppe complex furnishes a similar dimerization product in high yield by an intermolecular homo-[4+2] annulation between five-membered rhodacycle **C** and the triple bond of the 4-alkynal (Scheme 2).<sup>[9]</sup> Thus, we examined the reactions of a benzene-linked 4-alkynal, namely, 2-hexynyl-



**Scheme 2.** Rh-catalyzed dimerizations of 4-alkynals.

benzaldehyde (**1a**), with various cationic rhodium(I)/bisphosphine complexes. Surprisingly, the unexpected dimerization product **2** was obtained in good yield at room temperature by using the cationic rhodium(I)/1,4-bis(diphenylphosphino)butane (dppb) complex, presumably by an intermolecular homo-[4+2] annulation between five-membered rhodacycle **C** and the carbonyl group of **1a** (Scheme 2),<sup>[10–12]</sup> although no reaction was observed in the presence of the cationic rhodium(I)/dppe complex.

A cross-[4+2] annulation of **1a** with excess benzaldehyde (**3a**, 5 equiv) was investigated in the presence of 10 mol % of the cationic rhodium(I)/dppb or rhodium(I)/1,1'-bis(diphenylphosphino)ferrocene (dppf) complexes, but the desired cross-annulation product **4aa** was only obtained in low yields (Table 1, entries 1 and 2).<sup>[13]</sup> A number of cationic rhodium(I)/chiral bisphosphine complexes were screened for their ability to effect a chemo- and enantioselective cross-[4+2] annulation (Scheme 3; Table 1, entries 3–11). We were pleased to find that the use of (*R,R*)-walphos ((*R,R*)-**10**) as a chiral ligand furnished **4aa** in an improved yield with good enantioselectivity (Table 1, entry 11).

The reaction of **1a** with **3a** could be carried out using 5 mol % of the Rh catalyst to furnish **4aa** with an identical *ee* value, while the yield decreased to 31 % (Scheme 4). The amount of carbonyl compound could be reduced to two equivalents by using electron-deficient ketoester **3b**, although slight erosion of the *ee* value was observed (Scheme 4).<sup>[14]</sup> Fortunately, the reaction of 2-alkynylbenzaldehyde **1a** with only a slight excess of the cyclic electron-deficient carbonyl compound *N*-methylisatin (**3c**) in the presence of 5 mol % of the cationic rhodium(I)/(*R,R*)-**10** complex proceeded at room temperature to give the corresponding spirocyclic benzopyranone **4ac** in high yield and high enantioselectivity (Table 2, entry 1).<sup>[15,16]</sup>

We then explored the scope of this process with respect to both 2-alkynylbenzaldehydes and cyclic carbonyl compounds.

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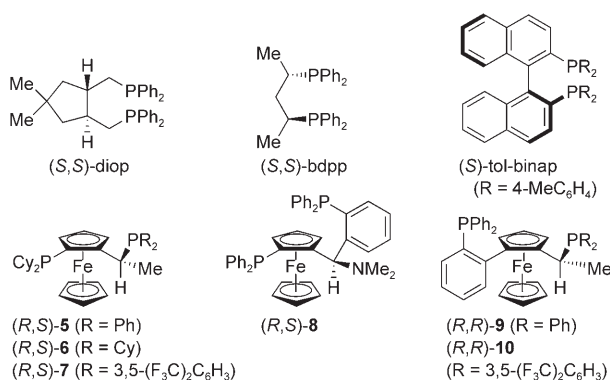
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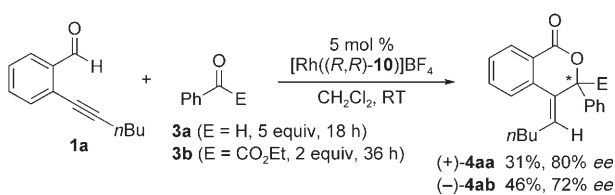
**Table 1:** Screening of ligands for the Rh-catalyzed [4+2] annulation of 2-alkynylbenzaldehyde **1a** with benzaldehyde (**3a**).<sup>[a]</sup>

Entry	Ligand	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	dppb	28	–
2	dppf	13	–
3	(S,S)-diop	< 5	< 5 (–)
4	(S,S)-bdpp	12	9 (–)
5	(S)-tol-binap	23	19 (+)
6	(R,S)- <b>5</b>	31	14 (+)
7	(R,S)- <b>6</b>	11	26 (+)
8	(R,S)- <b>7</b>	23	< 5 (+)
9	(R,S)- <b>8</b>	0	–
10	(R,R)- <b>9</b>	26	6 (+)
11	(R,R)- <b>10</b>	47	80 (+)

[a] [Rh(ligand)]BF<sub>4</sub> (0.010 mmol, 10 mol %), **1a** (0.10 mmol), and **3a** (0.50 mmol, 5 equiv) in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) were used. [b] Yield of isolated product. [c] All the ee values were measured by HPLC on chiral stationary phases.



**Scheme 3.** Structures of chiral bisphosphine ligands. Cy = cyclohexyl.



**Scheme 4.** Rh-catalyzed enantioselective [4+2] annulation of **1a** with benzaldehyde (**3a**) and linear dicarbonyl compound **3b**.

Both alkyl- (**1a** and **1b**; Table 2, entries 1 and 2) and chloroalkyl-substituted (**1c**; Table 2, entry 3) 2-alkynylbenzaldehydes reacted with **3c** to give the corresponding spirocyclic benzopyranones in high yields and high ee values. Not only alkyl but also phenyl- (**1d**; Table 2, entry 4) and 2-chlorophenyl-substituted (**1e**; Table 2, entry 5) 2-alkynylbenzaldehydes could participate in this reaction to give products with higher ee values. With respect to the cyclic carbonyl compounds, *N*-phenylisatin (**3d**; Table 2, entry 6)

**Table 2:** Rh-catalyzed enantioselective [4+2] annulation of **1a–e** with cyclic dicarbonyl compounds **3c–f**.<sup>[a]</sup>

Entry	<b>1</b> (R)	<b>3</b>	<b>4</b> , yield [%] <sup>[b]</sup> , ee [%] <sup>[c]</sup>
1	<b>1a</b> ( <i>n</i> Bu)	<b>3c</b>	(–)- <b>4ac</b> , 95, 93
2	<b>1b</b> (Cy)	<b>3c</b>	(–)- <b>4bc</b> , 84, 93
3 <sup>[d]</sup>	<b>1c</b> (Cl(CH <sub>2</sub> ) <sub>3</sub> )	<b>3c</b>	(–)- <b>4cc</b> , 97, 93
4	<b>1d</b> (Ph)	<b>3c</b>	(–)- <b>4dc</b> , 96, > 99
5 <sup>[e]</sup>	<b>1e</b> (2-ClC <sub>6</sub> H <sub>4</sub> )	<b>3c</b>	(–)- <b>4ec</b> , 90, 98
6	<b>1a</b>	<b>3d</b>	(–)- <b>4ad</b> , 96, 92
7	<b>1a</b>	<b>3e</b>	(–)- <b>4ae</b> , 78, 89
8	<b>1a</b> ( <i>n</i> Bu)	<b>3f</b>	(–)- <b>4af</b> , 77, 93
9 <sup>[e]</sup>	<b>1a</b> ( <i>n</i> Bu)	<b>3f</b>	(–)- <b>4af</b> , 86, 93
10 <sup>[e]</sup>	<b>1b</b> (Cy)	<b>3f</b>	(–)- <b>4bf</b> , 87, 92
11 <sup>[e]</sup>	<b>1c</b> (Cl(CH <sub>2</sub> ) <sub>3</sub> )	<b>3f</b>	(S)-(–)- <b>4cf</b> , 77, 94
12 <sup>[e]</sup>	<b>1d</b> (Ph)	<b>3f</b>	(–)- <b>4df</b> , 73, 98

[a] Reactions were conducted using [Rh((*R,R*)-**10**)]BF<sub>4</sub> (0.010 mmol, 5 mol %), **1a–e** (0.20 mmol), and **3c–f** (0.22 mmol, 1.1 equiv) in CH<sub>2</sub>Cl<sub>2</sub> (2.0 mL) at RT for 18–72 h. [b] Yield of isolated product. [c] All the ee values were measured by HPLC on chiral stationary phases. [d] Catalyst: 7.5 mol %. [e] Catalyst: 10 mol %.

and *NH*-isatin (**3e**; Table 2, entry 7) could also participate in this reaction. Furthermore, acenaphthenequinone (**3f**) reacted with 2-alkynylbenzaldehydes **1a–d** in high yields and high enantioselectivity in the presence of the cationic rhodium(I)/(*R,R*)-**10** complex, despite its poor solubility in CH<sub>2</sub>Cl<sub>2</sub> (Table 2, entries 8–12).<sup>[17]</sup> The absolute configuration of (–)-**4cf** was determined to be *S* by X-ray crystallographic analysis (Figure 1).<sup>[18]</sup>

In conclusion, we have developed a cationic rhodium(I)/(*R,R*)-walphos-catalyzed highly enantioselective [4+2] annulation of 2-alkynylbenzaldehydes with cyclic electron-deficient carbonyl compounds that leads to enantioenriched spirocyclic benzopyranones and isatin derivatives.<sup>[19]</sup> As cyclic electron-deficient carbonyl compounds (both isatin derivatives and acenaphthenequinone) are commercially available, and 2-alkynylbenzaldehydes can be prepared in one step by the Sonogashira coupling of commercially available terminal alkynes with 2-bromobenzaldehyde, this method serves as an attractive two-step route to enantioenriched spirocyclic benzopyranones and isatin derivatives starting from commer-

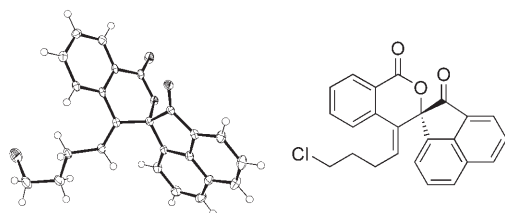


Figure 1. ORTEP drawing (S)-(-)-4cf drawn at the 50% probability level.

cially available reagents. Further expansion of the reaction scope is currently underway.

## Experimental Section

Representative procedure (Table 2, entry 1): In an argon atmosphere, a solution of (R,R)-10 (9.3 mg, 0.010 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (0.3 mL) was added to a solution of [Rh(cod)<sub>2</sub>]BF<sub>4</sub> (4.1 mg, 0.010 mmol; cod = cycloocta-1,5-diene) in CH<sub>2</sub>Cl<sub>2</sub> (0.3 mL), and the mixture was stirred at room temperature for 5 min. H<sub>2</sub> was then introduced to the resulting solution in a Schlenk tube. After stirring the mixture at room temperature for 1 h, the resulting solution was concentrated to dryness and dissolved in CH<sub>2</sub>Cl<sub>2</sub> (0.5 mL). A solution of 1a (37.3 mg, 0.200 mmol) and 3c (35.5 mg, 0.220 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (1.5 mL) was added to this solution, and the mixture stirred at room temperature for 18 h. The resulting solution was concentrated and purified by preparative TLC (hexane/EtOAc 4:1), which furnished (–)-4ac (66.5 mg, 0.191 mmol, 95% yield, 93% ee) as a brown solid. M.p. 96–97 °C;  $[\alpha]_D^{25} = -52.9^\circ$  ( $c = 3.23$  g cm<sup>-3</sup> in CHCl<sub>3</sub>, 93% ee); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz):  $\delta = 8.23$ –8.14 (m, 1H), 7.65 (dt,  $J = 7.5$ , 1.2 Hz, 1H), 7.55–7.45 (m, 2H), 7.40 (dt,  $J = 7.5$ , 1.2 Hz, 1H), 7.21 (dd,  $J = 7.5$ , 1.2 Hz, 1H), 7.10 (dt,  $J = 7.5$ , 0.6 Hz, 1H), 6.88 (d,  $J = 7.5$  Hz, 1H), 5.72 (dd,  $J = 8.1$ , 6.3 Hz, 1H), 3.12 (s, 3H), 2.54–2.29 (m, 2H), 1.48–1.18 (m, 4H), 0.86 ppm (t,  $J = 7.2$  Hz, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 75 MHz):  $\delta = 172.2$ , 163.9, 143.8, 136.4, 134.9, 133.1, 131.0, 129.7, 128.5, 127.8, 126.8, 126.0, 125.7, 125.4, 123.1, 108.8, 84.8, 31.6, 28.8, 26.3, 22.2, 13.7 ppm; IR (KBr):  $\tilde{\nu} = 3437$ , 3073, 2928, 1727, 765 cm<sup>-1</sup>; HRMS (ESI): calcd for C<sub>22</sub>H<sub>21</sub>NO<sub>3</sub>Na: 370.1419, found: 370.1408 [M+Na]<sup>+</sup>; CHIRALCEL OD-H, hexane/2-PrOH 90:10, 1.0 mL min<sup>-1</sup>,  $t_r$ : 18.5 min (minor isomer) and 30.1 min (major isomer).

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- [15] Synthesis of a chiral spirocyclic compound by the Rh<sup>I</sup>/H<sub>8</sub>-binap-catalyzed enantioselective [2+2+2] cycloaddition of an 1,6-enyne with N-methylisatin has been reported; see: Ref. [11].
- [16] Although the precise mechanism for the high enantioselectivity and reactivity observed with cyclic dicarbonyl compounds 3c–f is not clear at the present stage, the rigid bidentate coordination of their two carbonyl groups to the cationic rhodium center may construct the rigid chiral environment and enhance the reactivity.
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